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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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10-2-03

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|----------------------|------------------------|
| Application Number | 10/007120 |
| Filing Date | October 26, 2001 |
| First Named Inventor | Peterka, Petr |
| Art Unit | 2131 |
| Examiner Name | Technology Center 2100 |

Total Number of Pages in This Submission **6** Attorney Docket Number **018926-006540US**

ENCLOSURES (Check all that apply)

| | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | Return Postcard |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|--------------------|------------------------------------|-----------------|
| Firm or Individual | Townsend and Townsend and Crew LLP | Reg. No. 39,411 |
| Signature | | |
| Date | September 24, 2003 | |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| | | | |
|-----------------------|-------------|------|--------------------|
| Typed or printed name | Kay Barclay | | |
| Signature | | Date | September 24, 2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete if Known

FEE TRANSMITTAL for FY 2003

SEP 29 2013

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0

METHOD OF PAYMENT (check all that apply)

- Check Credit Card MoneyOrder Other None
 Deposit Account:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity | Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 1001 | 750 | 2001 | 375 | Utility filing fee | |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Fees from below | | Fee Paid |
|--------------|--------------------|--------------------|-----------------|---|----------|
| | | | Extra Claims | = | |
| | | | | X | |
| | | | | X | |
| | | | | X | |

Large Entity | Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|--|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

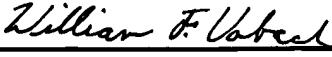
3. ADDITIONAL FEES

| Large Entity | Fee Code | Fee (\$) | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|---------------------------|----------|--------------|----------|----------|--|----------|
| | 1051 | 130 | | 2051 | 65 | Surcharge - late filing fee or oath | |
| | 1052 | 50 | | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| | 1053 | 130 | | 1053 | 130 | Non-English specification | |
| | 1812 | 2,520 | | 1812 | 2,520 | For filing a request for reexamination | |
| | 1804 | 920* | | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| | 1805 | 1,840* | | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| | 1251 | 110 | | 2251 | 55 | Extension for reply within first month | |
| | 1252 | 410 | | 2252 | 205 | Extension for reply within second month | |
| | 1253 | 930 | | 2253 | 465 | Extension for reply within third month | |
| | 1254 | 1,450 | | 2254 | 725 | Extension for reply within fourth month | |
| | 1255 | 1,970 | | 2255 | 985 | Extension for reply within fifth month | |
| | 1401 | 320 | | 2401 | 160 | Notice of Appeal | |
| | 1402 | 320 | | 2402 | 160 | Filing a brief in support of an appeal | |
| | 1403 | 280 | | 2403 | 140 | Request for oral hearing | |
| | 1451 | 1,510 | | 1451 | 1,510 | Petition to institute a public use proceeding | |
| | 1452 | 110 | | 2452 | 55 | Petition to revive – unavoidable | |
| | 1453 | 1,300 | | 2453 | 650 | Petition to revive – unintentional | |
| | 1501 | 1,300 | | 2501 | 650 | Utility issue fee (or reissue) | |
| | 1502 | 470 | | 2502 | 235 | Design issue fee | |
| | 1503 | 630 | | 2503 | 315 | Plant issue fee | |
| | 1460 | 130 | | 1460 | 130 | Petitions to the Commissioner | |
| | 1807 | 50 | | 1807 | 50 | Petitions related to provisional applications | |
| | 1806 | 180 | | 1806 | 180 | Submission of Information Disclosure Stmt | |
| | 8021 | 40 | | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| | 1809 | 750 | | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| | 1810 | 750 | | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| | 1801 | 750 | | 2801 | 375 | Request for Continued Examination (RCE) | |
| | 1802 | 900 | | 1802 | 900 | Request for expedited examination of a design application | |
| | Other fee (specify) _____ | | | | | | |

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|--------|-----------|--------------------|
| Name (Print/Type) | William F. Vobach | Registration No. (Attorney/Agent) | 39,411 | Telephone | 303-571-4000 |
| Signature |  | | | Date | September 24, 2003 |

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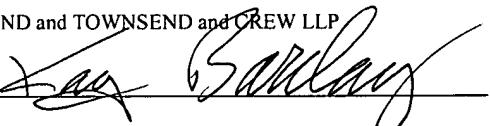
This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 60045729 v1
If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.

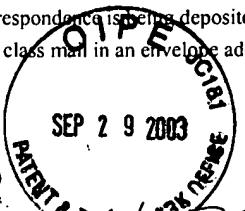
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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Alexandria, VA 22313-1450

On Sept. 29, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: 



PATENT
Attorney Docket No.: 018926-006540US
Client Reference No.: D2570

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SEP 30 2003

Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Petr Peterka

Application No.: 10/007120

Filed: October 26, 2001

For: INITIAL FREE PREVIEW FOR
MULTIMEDIA MULTICAST
CONTENT

Examiner:

Art Unit: 2131

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

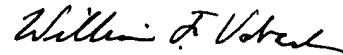
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



William F. Vobach
Reg. No. 39,411

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 303-571-4000
Fax: 303-571-4321
WFV:klb
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| | | | | | |
|--------------------------------|---|----|--|--------------------------|------------------|
| Substitution of Form 1449A/PTO | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/007120 |
| | | | | Filing Date | October 26, 2001 |
| | | | | First Named Inventor | Peterka, Petr |
| | | | | Art Unit | 2131 |
| | | | | Examiner Name | |
| Sheet | 1 | of | | Attorney Docket Number | 018926-006540US |

| U.S. PATENT DOCUMENTS+ | | | | | |
|------------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| AA | US-6,041,316 | | 03-21-2000 | Allen, Jonathon B. | |
| AB | US- | | | | |
| AC | US- | | | | |
| AD | US- | | | | |
| AE | US- | | | | |
| AF | US- | | | | |
| AG | US- | | | | |
| AH | US- | | | | |
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| AN | US- | | | | |
| AO | US- | | | | |
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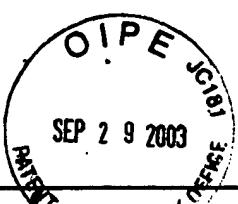
Technology Center 2100

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-----------------------|---------------------------|---|---|--|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Country Code ³ | Number ⁴ Kind Code ⁵ (if known) | | |
| AU | | | | | <input type="checkbox"/> |
| AV | | | | | <input type="checkbox"/> |
| AW | | | | | <input type="checkbox"/> |
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| AZ | | | | | <input type="checkbox"/> |
| BA | | | | | <input type="checkbox"/> |
| BB | | | | | <input type="checkbox"/> |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ² Applicant's unique citation designation number (optional). ³ Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

60045714 v1



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|--|---|----|--|--------------------------|------------------|
| Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Complete if Known | |
| Sheet | 2 | of | | Application Number | 10/007120 |
| | | | | Filing Date | October 26, 2001 |
| | | | | First Named Inventor | Peterka, Petr |
| | | | | Art Unit | 2131 |
| | | | | Examiner Name | |
| | | | | Attorney Docket Number | 018926-006540US |

| NON PATENT LITERATURE DOCUMENTS | | | | |
|--|-----------------------|---|--|-------------------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | T ² |
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|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

²Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.